

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Jeongnam Youn

Application No.: 10/674,945

Filed: September 29, 2003

For: Rate-Distortion Control Scheme  
In Audio Encoding

Examiner: Unassigned

Art Unit: Unassigned

Commissioner for Patents  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

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Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

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Michelle Begay

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11/3/03

Date

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):


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If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: October 31, 2003

  
Marina Portnova  
Reg. No. 45,750

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	10/674,945
Filing Date	9/29/03
First Named Inventor:	J. Youn
Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	080398.P565

1 of 2

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (If known)				
		us-	5,497,435	03-05-1996	Berger, Marc A.	
		us-	5,636,324	06-03-1997	Teh; Do-Hui, et al.	
		us-	5,657,454	08-12-1997	Benbassat; Gerard,et al.	
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		us-	5,956,674	09-21-1999	Smyth; Stephen Malcolm, et al.	
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		us-	6,456,963	09-24-2002	Araki; Tadashi	
		us-	6,456,968	09-24-2002	Taniguchi; Shohei, et al.	
		us-	6,529,604	03-04-2003	Sung-Hee Park, et al.	
		us-	6,577,252	06-10-2003	Atsushi Hotta	
		us-				
		us-				
		us-				

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Based on Form PTO/SB/08A (08-03) as modified by BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP on 09/10/03.

Substitute for Form 1449/PTO <div style="text-align: center; font-weight: bold; font-size: 1.2em;">           INFORMATION DISCLOSURE            STATEMENT BY APPLICANT         </div> <div style="text-align: center; font-size: 0.8em;"> <i>(Use as many sheets as necessary)</i> </div>				Complete if Known	
Application Number		10/674,945			
Filing Date		9/29/03			
First Named Inventor:		J. Youn			
Art Unit		Unassigned			
Examiner Name		Unassigned			
Attorney Docket Number		080398..P565			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		POONDIKULAM L.S., et al., "Efficient Implementation of Transform Based Audio Coders Using SIMD Paradigm and Multifunction Computations," Sasken Communication Technologies, Limited, Bangalore, India, Available: <a href="http://www.sasken.com/others/wpapers/paper_0007.pdf">http://www.sasken.com/others/wpapers/paper_0007.pdf</a> , 5 pages	
		WANG, Y., et al., "An Excitation Level Based Psychoacoustic Model For Audio Compression," Nokia Research Center, Speech and Audio Systems Lab, Tampere Finland, Downloaded 5/29/03, Available: <a href="http://www.kom.e-technik.tu-darmstadt.de/acmmm99/ep/wang">http://www.kom.e-technik.tu-darmstadt.de/acmmm99/ep/wang</a> ,	

Examiner Signature	Date Considered
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